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**EIGHTH FLOOR** 

## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

Page 1 of 2

10/828,846

TWO EMBARCADERO CENTER

SAN FRANCISCO, CA 94111-3834

04/20/2004

Steven R. Binder

02558B-063710US

**CONFIRMATION NO. 5304** 

FORMALITIES LETTER

OC000000013084599\*

Date Mailed: 06/28/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

AUG 1 9 200

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

TOWNSEND AND TOWNSEND AND CREW, LL

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$198 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$1098 for a Large Entity

\$770 Statutory basic filing fee.

• \$130 Late oath or declaration Surcharge.

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Total additional claim fee(s) for this application is \$198

\$198 for 11 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

### **TRANSMITTAL FORM**

Total Number of Pages in This Submission

(to be used for all correspondence after initial filing)

| Application Number     | 10/828,846        |  |
|------------------------|-------------------|--|
| Filing Date            | April 20, 2004    |  |
| First Named Inventor   | Binder, Steven R. |  |
| Art Unit               | 1645              |  |
| Examiner Name          |                   |  |
| Attorney Docket Number | 02558B-063710US   |  |

| ENCLOSURES (Check all that apply)  |   |            |       |  |                        |         |  |                  |  |
|--|---|------------|-------|--|------------------------|---------|--|------------------|--|
| $\boxtimes$  | Fee Trans   | mittal For | m     | $\boxtimes$  | Declaration            | · · ·   |  |                  | nce Communication<br>gy Center (TC)    |
|  | Fe  | e Attach   | ed    |  | Application Data Sheet |         |  |                  | nmunication to Board and Interferences |
|  | Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority |            |       | Petition Petition to Convert to a Provisional Application Power of Attorney  Statement Under 37 CRT 3.73 with copy of assignment Request for Refund CD, Number of CD(s)  The Commissioner Account 20-1430. |                        | (Ar     | opeal Noti<br>oprietary<br>atus Lette<br>her Enclo<br>entify belo<br>ostcard | osure(s) (please |  |
| Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53  |   |            |       |  | , (docum 20 1400)      |         |  |                  |  |
|  |   |            | SIGNA | TURE   | OF APPLICANT, ATTO     | RNEY, C | OR AGEN  | T                |  |
| Firm<br>or   | I TOWNSEND AND TOWNSEND AND CIEW LLI  |            |       |  |                        |         |  |                  |  |
| _  | Individual name Gerald T. Gray Reg. No. 41,797  |            |       |  |                        |         |  |                  |  |
| Signati  | Signature Mult T. New   |            |       |  |                        |         |  |                  |  |
| Date   |   |            |       |  |                        |         |  |                  |  |
| CERTIFICATE OF TRANSMISSION/MAILING  I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. |   |            |       |  |                        |         |  |                  |  |
|  | Typed or printed name Sylvia E. Arnold  |            |       |  |                        |         |  |                  |  |
| Signa  | Signature Sylva Crns(d Date August 16, 2004   |            |       |  |                        |         |  |                  |  |

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

| (\$) | 1098 |
|------|------|
| (4)  | 103  |

|                      | Complete if Known |  |
|----------------------|-------------------|--|
| Application Number   | 10/828,846        |  |
| Filing Date          | April 20, 2004    |  |
| First Named Inventor | Binder, Steven R. |  |
| Examiner Name        |                   |  |
| Art Unit             | 1645              |  |
| Attorney Docket No.  | 02558B-063710US   |  |

|                         | 1110000117                               | TIMEITI (CITCCA dir triat appriy)                          |                            |             |             | , , ,    | AEGOEATION (CONTINUOS)   |             |
|-------------------------|--|--|----------------------------|-------------|-------------|----------|--|-------------|
| Check                   | Credit Card                              | Money Order Other None                                     | 3. ADD                     | ITIONAL I   | FEES        |          |  |             |
| Deposit Act             | count:                                   |  | Large                      | Entity      | Small       | Entity   | _  |             |
| Deposit<br>Account      | 20-143                                   | <br>∩  | Fee<br>Code                | Fee (\$)    | Fee<br>Code | Fee (\$) | Fee Description  | Fee<br>Paid |
| Number                  | 20-140                                   | •  | 1051                       | 130         | 2051        | 65       | Surcharge - late filing fee or oath  | 130         |
| Deposit                 |  |  | 1052                       | 50          | 2052        | 25       | Surcharge - late provisional filing fee or cover sheet.                    |             |
| Account                 | Townsend                                 | and Townsend and Crew LLP                                  | 1053                       | 130         | 1053        | 130      | Non-English specification  |             |
| Name                    |  |  | 1812                       | 2,520       | 1812        | 2,520    | For filing a request for reexamination                                     |             |
| <del></del>             | authorized to: (d<br>(s) indicated below | check all that apply)  v                                   | 1804                       | 920*        | 1804        | 920*     | Requesting publication of SIR prior to<br>Examiner action                  |             |
|                         |  | or any underpayment of fee(s)                              | 1805                       | 1,840*      | 1805        | 1,840*   | Requesting publication of SIR after<br>Examiner action                     |             |
| ,                       | ntified deposit acc                      |  | 1251                       | 110         | 2251        | 55       | Extension for reply within first month                                     |             |
|                         |  | CALCULATION  | 1252                       | 420         | 2252        | 210      | Extension for reply within second month                                    |             |
| . BASIC F               | ILING FEE                                |  | 1253                       | 950         | 2253        | 475      | Extension for reply within third month                                     |             |
| arge Entity             | Small Entity                             |  | 1254                       | 1,480       | 2254        | 740      | Extension for reply within fourth month                                    |             |
| ee Fee                  | Fee Fee                                  | Fee Description Fee Pai                                    |                            | .,          |             |          |  |             |
| code (\$)               | Code (\$)                                |  | 1255                       | 2,010       | 2255        | 1,005    | Extension for reply within fifth month                                     |             |
| 001 770                 | 2001 385                                 | Utility filing fee 770                                     | 1401                       | 330         | 2401        | 165      | Notice of Appeal   |             |
| 002 340                 | 2002 170                                 | Design filing fee  | 1402                       | 330         | 2402        | 165      | Filing a brief in support of an appeal                                     |             |
| 003 530                 | 2003 265                                 | Plant filing fee   | 1403                       | 290         | 2403        | 145      | Request for oral hearing   |             |
| 004 770<br>005 160      | 2004 385<br>2005 80                      | Reissue filing fee Provisional filing fee                  | 1451                       | 1,510       | 1451        | 1,510    | Petition to institute a public use proceeding                              |             |
|                         | CURTOT                                   | (C)770   | 1452                       | 110         | 2452        | 55       | Petition to revive – unavoidable   |             |
|                         | SUBTOT                                   | AL (1) (\$)770   | <b>─</b>   <sub>1453</sub> | 1,330       | 2453        | 665      | Petition to revive – unintentional   |             |
| . EXTRA C               | LAIM FEES FO                             | OR UTILITY AND REISSUE                                     | 1501                       | 1,330       | 2501        | 665      | Utility issue fee (or reissue)   |             |
|                         |  | Fee from   | 1502                       | 480         | 2502        | 240      | Design issue fee   |             |
| _                       | Ext                                      | ra Claims below Fee Paid                                   | 1503                       | 640         | 2503        | 320      | Plant issue fee  |             |
| Total Claims 3          | 31 -20** = 1                             | 1 🔼 \$18  =  \$198   | 1460                       | 130         | 1460        | 130      | Petitions to the Commissioner  |             |
| idependent [            | .3" = 0                                  | \$86 = \$0   | 1807                       | 50          | 1807        | 50       | Petitions related to provisional applications                              |             |
| L<br>Iultiple           |  |  | 1806                       | 180         | 1806        | 180      | Submission of Information Disclosure<br>Stmt                               |             |
| ependent<br>arge Entity | Small Entity                             |  | 8021                       | 40          | 8021        | 40       | Recording each patent assignment per property (times number of properties) |             |
| ee Fee<br>ode (\$)      | Fee Fee<br>Code (\$)                     | Fee Description  | 1809                       | 770         | 2809        | 385      | Filing a submission after final rejection (37 CFR § 1.129(a))              |             |
| 202 18                  | 2202 9                                   |  | 1810                       | 770         | 2810        | 385      | For each additional invention to be  |             |
| 201 86<br>203 290       | 2201 43<br>2203 145                      | •  | ,                          |             | ' -         |          | examined (37 CFR § 1.129(b))   |             |
| 204 86                  | 2204 43                                  | ** Paissue independent claims                              | 1801                       | 770         | 2801        | 385      | Request for Continued Examination (RCE)                                    |             |
| 205 18                  | 2205 9                                   | ** Reissue claims in excess of 20 and over original patent | 1802                       | 900         | 1802        | 900      | Request for expedited examination of a design application                  |             |
|                         | SUI                                      | BTOTAL (2) (\$)198   | Other fe                   | e (specify) |             |          |  |             |
| **or number prev        |  | er; For Reissues, see above                                | *Reduce                    | ed by Basic | : Filing f  | Fee Paid | SUBTOTAL (3) (\$)130   |             |

| SUBMITTED BY Complete (if applicable) |                |                                   |        |           |                   |  |
|---------------------------------------|----------------|-----------------------------------|--------|-----------|-------------------|--|
| Name (Print/Type)                     | Gerald T. Gray | Registration No. (Attorney/Agent) | 41,797 | Telephone | 925-472-5000      |  |
| Signature                             | Mall T.        | Muy                               |        | Date      | August / 6 , 2004 |  |